

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 2002B107A</b>
<b>In Re Application of: Chon-Yie Lin, et al.</b>			
<b>U.S. Serial No. 10/634,351</b>	<b>Filing Date August 04, 2003</b>	<b>Examiner Not Yet Assigned</b>	<b>Group Art Unit 1711</b>



Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR § 1.97(b)**

- ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☒ the fee as set forth in § 1.17(p), or  
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

09/01/2005 CNGUYEN2 00000019 051712 10634351

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Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed when required.

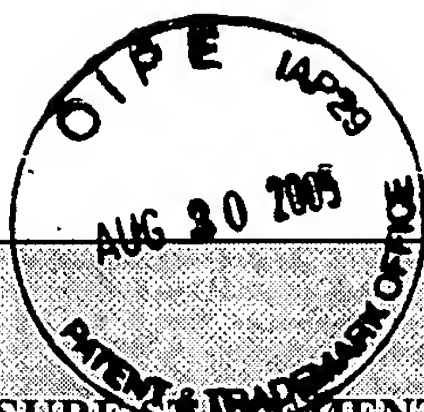
☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_ Copies of the cited references are  
☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

August 30, 2005 Catherine L. Bell  
Date

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**INFORMATION DISCLOSURE STATEMENT***(Use several sheets if necessary)*

ATTY. DOCKET NO.

SERIAL NO.

2002B107A

10/634,351

APPLICANT

Chon-Yie Lin, et al.

FILING DATE

GROUP

August 04, 2003

1711

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2,567,016	09/04/1951	Gessler et al.			
	AB	3,149,178	09/15/1964	Hamilton et al.			
	AC	3,201,364	08/17/1965	Salzer			
	AD	3,228,896	01/11/1966	Canterino			
	AE	3,235,529	02/15/1966	Nagle			
	AF	3,239,478	03/08/1966	Harlan, Jr.			
	AG	3,281,390	10/25/1966	O'Leary, Jr.			
	AH	3,299,568	01/24/1967	Tobolsky			
	AI	3,308,086	03/07/1967	Wartman			
	AJ	3,318,835	05/09/1967	Hagemeyer, Jr. et al.			
	AK	3,338,778	08/29/1967	Hutchins et al.			
	AL	3,361,702	01/02/1968	Wartman et al.			
	AM	3,415,925	12/10/1968	Marans			
	AN	3,437,627	04/08/1969	Gude et al.			
	AO	3,439,088	04/15/1969	Edman			
	AP	3,464,949	09/02/1969	Wartman et al.			
	AQ	3,475,368	10/28/1969	Metz			
	AR	3,536,796	10/27/1970	Rock			
	AS	3,541,039	11/17/1970	Whiton			
	AT	3,563,934	02/16/1971	Burnett			
	AU	3,752,779	08/14/1973	Maciejewski			
	AV	3,818,105	06/18/1974	Coopersmith et al.			
	AW	3,821,148	06/28/1974	Makowski et al.			
	AX	3,839,261	10/01/1974	Aronoff et al.			
	AY	3,860,543	01/14/1975	Masuda et al.			

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AZ	3,925,504	12/09/1975	Koleske et al.			
	BA	3,925,947	12/16/1975	Meyers et al.			
	BB	3,945,975	03/23/1976	Strack			
	BC	3,988,276	10/26/1976	Kutch et al.			
	BD	4,006,115	02/01/1977	Elbert			
	BE	4,010,127	03/01/1977	Taka et al.			
	BF	4,061,805	12/06/1977	Thompson et al.			
	BG	4,063,002	12/13/1977	Wilson, Jr.			
	BH	4,073,782	02/14/1978	Kishi et al.			
	BI	4,092,282	05/30/1978	Callan			
	BJ	4,094,850	06/13/1978	Morgan et al.			
	BK	4,104,216	08/01/1978	Clampitt			
	BL	4,110,185	08/29/1978	Williams et al.			
	BM	4,118,359	10/03/1978	Brenner			
	BN	4,118,362	10/03/1978	Makowski et al.			
	BO	4,131,587	12/26/1978	Brenner			
	BP	4,132,698	01/02/1979	Gessler et al.			
	BQ	4,136,072	01/23/1979	Ladish et al.			
	BR	4,138,378	02/06/1979	Doss			
	BT	4,147,831	04/03/1979	Balinh			
	BU	4,153,582	05/08/1979	Puffr et al.			
	BV	4,153,588	05/08/1979	Makowksi et al.			
	BW	4,153,594	05/08/1979	Wilson, Jr.			
	BX	4,154,712	05/15/1979	Lee, Jr.			
	BY	4,154,244	05/15/1979	Becker et al.			
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	CA	4,157,992	06/12/1979	Lundberg et al.			
	CB	4,166,057	08/28/1979	Takemori			
	CC	4,169,822	10/02/1979	Kutch et al.			
	CD	4,170,586	10/09/1979	Clampitt et al.			
	CE	4,175,069	11/20/1979	Brenner			
	CF	4,189,411	02/19/1980	Haaf			
	CG	4,210,570	07/01/1980	Trotter et al.			
	CH	4,221,887	09/09/1980	Brenner et al.			
	CI	4,229,337	10/21/1980	Brenner			
	CJ	4,237,083	12/02/1980	Young et al.			
	CK	4,274,932	06/23/1981	Williams et al.			
	CL	4,288,358	09/08/1981	Trotter et al.			
	CM	4,288,480	09/08/1981	Grzywinski et al.			
	CO	4,289,668	09/15/1981	Li			
	CP	4,311,628	01/19/1982	Abdou-Sabet et al.			
	CQ	4,322,336	03/30/1982	Machurat et al.			
	CS	4,325,850	04/20/1982	Mueller			
	CT	4,327,007	04/27/1982	Vanderkooi, Jr. et al.			
	CU	4,335,026	06/15/1982	Balinh			
	CV	4,335,034	06/15/1982	Zuckerman et al.			
	CW	4,340,513	07/20/1982	Moteki et al.			
	CX	4,347,332	08/31/1982	Odorzynski et al.			
	CY	4,352,823	10/05/1982	Cherukuri et al.			
	CZ	4,369,284	01/18/1983	Chen			
	DA	4,379,169	04/05/1983	Reggio et al.			
	DB	4,387,108	06/07/1983	Koch et al.			
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	DC	4,399,248	08/16/1983	Singh et al.			
	DD	4,399,251	08/16/1983	Lee			
	DE	4,403,005	09/06/1983	Nevins et al.			
	DF	4,403,007	09/06/1983	Coughlin			
	DG	4,409,345	10/11/1983	Moteki et al.			
	DH	4,434,258	02/28/1984	Schumacher et al.			
	DI	4,438,228	03/20/1984	Schenck			
	DJ	4,438,229	03/20/1984	Fujimori et al.			
	DK	4,440,829	04/03/1984	Gerace et al.			
	DL	4,450,250	05/22/1984	McConnell et al.			
	DM	4,452,820	06/05/1984	D'Amelia et al.			
	DN	4,459,311	07/10/1984	DeTora et al.			
	DO	4,460,729	07/17/1984	Books			
	DP	4,467,065	08/21/1984	Williams et al.			
	DQ	4,469,770	09/04/1984	Nelson			
	DR	4,497,926	02/05/1985	Toy			
	DS	4,504,604	03/12/1985	Pilkington et al.			
	DT	4,518,615	05/21/1985	Cherukuri et al.			
	DU	4,529,666	07/16/1985	Salzburg et al.			
	DV	4,532,305	07/30/1985	Dickinson			
	DW	4,536,537	08/20/1985	Klingensmith et al.			
	DX	4,542,053	09/17/1985	Nevins et al.			
	DY	4,551,507	11/05/1985	Haylock et al.			
	DZ	4,552,801	11/12/1985	Odorzynski et al.			
	EA	4,579,901	04/01/1986	Allen et al.			
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	EB	4,584,215	04/22/1986	Bré et al.			
	EC	4,616,052	10/07/1986	Habibullah			
	ED	4,645,791	02/24/1987	Theodore et al.			
	EE	4,659,757	04/21/1987	Okamoto et al.			
	EF	4,663,220	05/05/1987	Wisneski et al.			
	EG	4,666,959	05/19/1987	Weissberger et al.			
	EH	4,666,968	05/19/1987	Downey et al.			
	EI	4,670,341	06/02/1987	Lundsager			
	EJ	4,684,682	08/04/1987	Lee, Jr.			
	EK	4,703,078	10/27/1987	Machara et al.			
	EL	4,749,734	06/07/1988	Williams et al.			
	EM	4,745,143	05/17/1988	Mason et al.			
	EN	4,746,388	05/24/1988	Inaba et al.			
	EO	4,764,535	08/16/1988	Leicht			
	EP	4,772,657	09/20/1988	Akiyama et al.			
	EQ	4,774,277	09/27/1988	Janac et al.			
	ER	4,814,375	03/21/1989	Esposito			
	ES	4,824,891	04/25/1989	Laurent et al.			
	ET	4,833,172	05/23/1989	Schwarz et al.			
	EU	4,833,195	05/23/1989	Adur et al.			
	EV	4,840,988	06/20/1989	Nakayama et al.			
	EW	4,845,137	07/04/1989	Williams et al.			
	EX	4,914,145	04/03/1990	Tohdoh et al.			
	EY	4,919,992	04/24/1990	Blundell et al.			
	EZ	4,939,040	07/03/1990	Oreglia et al.			
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	FA	4,952,457	08/28/1990	Cartier et al.			
	FB	4,957,958	09/18/1990	Schleifstein			
	FC	4,959,285	09/25/1990	Hoffmann			
	FD	4,959,396	09/25/1990	Yankov et al.			
	FE	4,959,402	09/25/1990	Williams et al.			
	FF	4,960,820	10/02/1990	Hwo			
	FG	4,995,884	02/26/1991	Ross et al.			
	FH	4,996,094	02/26/1991	Dutt			
	FI	5,026,756	06/25/1991	Arendt			
	FJ	5,028,647	07/02/1991	Haylock et al.			
	FK	5,080,942	01/14/1992	Yau			
	FL	5,091,454	02/25/1992	Arendt			
	FM	5,093,197	03/03/1992	Howard et al.			
	FN	5,106,899	04/21/1992	Maresca			
	FO	5,116,626	05/26/1992	Synosky et al.			
	FP	5,124,384	06/23/1992	Goldstein			
	FQ	5,149,736	09/22/1992	Gamarra			
	FR	5,171,908	12/15/1992	Rudnick			
	FS	5,173,317	12/22/1992	Hartman et al.			
	FT	5,206,276	04/27/1993	Lee, Jr.			
	FU	5,230,843	07/27/1993	Howard et al.			
	FV	5,231,128	07/27/1993	Nakata et al.			
	FW	5,238,735	08/24/1993	Nagou et al.			
	FX	5,250,628	10/05/1993	Seguela et al.			
	FY	5,256,717	10/26/1993	Stauffer et al.			
	FZ	5,258,419	11/02/1993	Rolando et al.			
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	GA	5,264,474	11/23/1993	Schleifstein et al.			
	GB	5,278,220	01/11/1994	Vermeire et al.			
	GC	5,286,500	02/15/1994	Synosky et al.			
	GD	5,290,886	03/01/1994	Ellul			
	GE	5,308,904	05/03/1994	Fujii et al.			
	GF	5,312,856	05/17/1994	Hert et al.			
	GG	5,324,580	06/28/1994	Allan et al.			
	GH	5,356,709	10/18/1994	Woo et al.			
	GI	5,356,948	10/18/1994	Payne, Jr. et al.			
	GJ	5,356,986	10/18/1994	Stewart et al.			
	GK	5,376,716	12/27/1994	Nayak et al.			
	GL	5,389,711	02/14/1995	Westbrook et al.			
	GM	5,397,832	03/14/1995	Elul			
	GN	5,409,041	04/25/1995	Yoshida et al.			
	GO	5,424,080	06/13/1995	Synosky et al.			
	GP	5,437,877	08/01/1995	Synosky et al.			
	GQ	5,442,004	08/15/1995	Sutherland et al.			
	GR	5,459,193	10/17/1995	Anderson et al.			
	GS	5,462,754	10/31/1995	Synosky et al.			
	GT	5,462,981	10/31/1995	Bastioli et al.			
	GU	5,489,646	02/06/1996	Tatman et al.			
	GV	5,492,943	02/20/1996	Stempel			
	GW	5,512,625	04/30/1996	Butterbach et al.			
	GX	5,563,222	10/08/1996	Fukuda et al.			
	GY	5,601,858	02/11/1997	Mansukhani et al.			
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	GZ	5,624,986	04/29/1997	Bunnelle et al.			
	HA	5,663,230	09/02/1997	Haman			
	HB	5,683,634	11/04/1997	Fujii et al.			
	HC	5,683,815	11/04/1997	Leiss			
	HD	5,688,850	11/18/1997	Wyffels			
	HE	5,726,239	03/10/1998	Maes et al.			
	HF	5,728,760	03/17/1998	Rose et al.			
	HG	5,736,197	04/07/1998	Gaveske			
	HH	5,739,200	04/14/1998	Cheung et al.			
	HI	5,741,840	04/21/1998	Lindquist et al.			
	HJ	5,747,573	05/05/1998	Ryan			
	HK	5,786,418	07/28/1998	Strelow et al.			
	HL	5,789,529	08/04/1998	Matsumura et al.			
	HM	5,804,630	09/08/1998	Heyer et al.			
	HN	5,837,769	11/17/1998	Graafland et al.			
	HO	5,849,806	12/15/1998	St. Clair et al.			
	HP	5,869,555	02/09/1999	Simmons et al.			
	HQ	5,869,560	02/09/1999	Kobayashi et al.			
	HR	5,869,562	02/09/1999	Lindquist et al.			
	HS	5,872,183	02/16/1999	Bonnet et al.			
	HT	5,908,412	06/01/1999	Beger			
	HU	5,916,959	06/29/1999	Lindquist et al.			
	HV	5,929,147	07/27/1999	Pierick et al.			
	HW	5,939,483	08/17/1999	Kueppers			
	HX	5,948,557	09/07/1999	Ondeck et al.			
	HY	6,001,455	12/14/1999	Nishio et al.			
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	HZ	6,017,986	01/25/2000	Burton			
	IA	6,027,674	02/22/2000	Yates			
	IB	6,045,922	04/04/2000	Jannssen et al.			
	IC	6,060,561	09/09/2000	Wolfshwenger et al.			
	ID	6,077,899	06/20/2000	Yatsuyanagi et al.			
	IE	6,084,031	07/04/2000	Medsker et al.			
	IF	6,086,996	07/11/2000	Rancich et al.			
	IG	6,127,444	10/03/2000	Kadri			
	IH	6,143,818	11/07/2000	Huang et al.			
	II	6,190,769	02/20/2001	Wang			
	IJ	6,194,498	02/27/2001	Anderson et al.			
	IK	6,231,936	05/15/2001	Kozimor et al.			
	IL	6,231,970	05/15/2001	Anderson et al.			
	IM	6,271,294	08/07/2001	Lasson et al.			
	IN	6,316,068	11/13/2001	Masubuchi et al.			
	IO	6,326,426	12/04/2001	Ellul			
	IP	6,329,468	12/11/2001	Wang			
	IQ	6,337,364	01/08/2002	Sakaki et al.			
	IR	6,342,209	01/29/2002	Patil et al.			
	IS	6,342,320	01/29/2002	Liu et al.			
	IT	6,342,565	01/29/2002	Cheng et al.			
	IU	6,348,563	02/19/2002	Fukuda et al.			
	IV	6,362,252	03/26/2002	Prutkin			
	IW	6,372,379	04/16/2002	Samii et al.			
	IX	6,380,292	04/30/2002	Gibes et al.			
EXAMINER							
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	IY	6,384,115	05/07/2002	Van Gysel et al.			
	IZ	6,410,200	06/25/2002	Williams et al.			
	JA	6,413,458	07/02/2002	Pearce			
	JB	6,448,338	09/10/2002	Born et al.			
	JC	6,451,915	09/17/2002	Ellul et al.			
	JD	6,465,109	10/15/2002	Ohtsuka			
	JE	6,482,281	11/19/2002	Schmidt			
	JF	6,509,128	01/21/2003	Everaerts et al.			
	JG	6,531,214	03/11/2003	Carter et al.			
	JH	6,538,066	03/25/2003	Watanabe et al.			
	JI	6,559,232	05/06/2003	Inoue et al.			
	JJ	6,583,207	06/24/2003	Starhope et al.			
	JK	6,610,768	08/26/2003	Jelenic et al.			
	JL	6,623,847	09/23/2003	Yates			
	JM	6,639,020	10/28/2003	Brant			
	JN	6,730,739	05/04/2004	Gipson			
	JO	6,867,253	03/15/2005	Chen			
	JP	2001/0051265	12/13/2001	Williams et al.			
	JQ	2002/0049276	04/25/2002	Zwick			
	JR	2002/0077409	06/20/2002	Sakaki et al.			
	JS	2002/0147266	10/10/2002	Rawlinson et al.			
	JT	2002/0155267	10/24/2002	Bader			
	JU	2002/0160137	10/31/2002	Varma			
	JV	2002/0168518	11/14/2002	Bond et al.			
	JW	2003/0004266	01/02/2003	Kitazaki et al.			
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	JX	2003/0181584	09/25/2003	Handlin, Jr. et al.				
	JY	2003/0032696	02/13/2003	Sime et al.				
	JZ	2003/0091803	05/15/2003	Bond et al.				
	KA	2003/0092826	05/15/2003	Pearce				
	KB	2003/0134552	07/17/2003	Mehawej et al.				
	KC	2003/035951	02/20/2003	Magill et al.				
	KD	2003/0187081	10/02/2003	Cui				
	KE	2003/157859	08/21/2003	Ishikawa				
	KF	2004/214498	10/28/2004	Webb et al.				
	KG	2004/0034148	02/19/2004	Kelly et al.				
	KH	2004/0070653	04/15/2004	Mashita et al.				
	KI	2004/106723	06/03/2004	Yang et al.				
	KJ	2004/186214	09/23/2004	Li et al.				
	KK	2004/260001	12/23/2004	Lin et al.				
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	KL	215313	08/27/1982	CS Abstract attached				X
	KM	1 282 942	11/14/1968	DE Abstract attached				X
	KN	1 669 261	10/29/1970	DE Abstract attached				X
	KO	1 921 649	11/19/1970	DE Abstract attached				X
	KP	1 961 981	07/09/1970	DE Abstract attached				X
	KQ	198 41 303	03/23/2000	DE Abstract attached				X
	KR	2 019 945	11/04/1971	DE Abstract attached				X
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							YES	NO
	KS	2 108 293	08/31/1972	DE Abstract attached				X
	KT	26 32 957	01/26/1978	DE Abstract attached				X
	KU	32 44 499	06/16/1983	DE Abstract attached				X
	KV	37 35 502	05/03/1989	DE Abstract attached				X
	KW	39 11 725	10/18/1990	DE Abstract attached				X
	KX	44 17 191	08/31/1995	DE Abstract attached				X
	KY	19841303	03/23/2000	DE Abstract attached				X
	KZ	0 046 536	01/23/1985	EP				
	LA	0 299 718	01/18/1989	EP				
	LB	0 214 112	03/11/1987	EP				
	LC	0 217 516	04/08/1987	EP				
	LD	0 255 735	02/10/1988	EP				
	LE	0 300 689	01/25/1989	EP				
	LF	0 315 363	10/26/1988	EP				
	LG	0 321 868	06/28/1989	EP				
	LH	0 322 169	06/28/1989	EP				
	LI	0 332 802	03/18/1988	EP Abstract attached				X
	LJ	0 369 164	05/23/1990	EP				
	LK	0 389 695	10/03/1990	EP				
	LL	0 039 126	11/04/1981	EP				
	LM	0 409 155	05/11/1994	EP Abstract attached				X
	LO	0 428 153	03/22/1995	EP Abstract attached				X
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*EXAMINER INITIAL		DOCUMENT NUMBER	PUBL DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	LP	0 448 259	09/25/1991	EP				
	LQ	0 050 548	01/16/1985	EP Abstract attached				X
	LR	0 513 470	11/19/1992	EP				
	LS	0 058 331	06/12/1985	EP				X
	LT	0 058 404	01/22/1986	EP				
	LU	0 087 294	08/31/1983	EP				
	LV	0 614 939	09/14/1994	EP Abstract Attached				X
	LW	0 617 077	09/28/1994	EP Abstract Attached				X
	LX	0 622 432	11/02/1994	EP				
	LY	0 664 315	07/26/1995	EP				
	LZ	0 677 548	10/18/1995	EP Abstract Attached				X
	MA	0 073 042	10/07/1987	EP				
	MB	0 742 227	11/13/1996	EP				
	MC	0 083 049	07/06/1983	EP				
	MD	0 801 104	10/15/1997	EP Abstract Attached				X
	ME	0 940 433	09/08/1999	EP				
	MF	0 097 969	01/11/1984	EP				
	MG	1 028 145	08/16/2000	EP				
	MH	1 104 783	06/06/2001	EP Abstract Attached				X
	MI	1 201 391	05/02/2002	EP Abstract Attached				X
	MJ	1 211 285	06/05/2002	EP				
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							YES	NO
	ML	1 331 258	07/30/2003	EP				
	MM	1 167 244	11/21/1958	FR Abstract Attached				X
	MN	1 536 425	07/08/1968	FR Abstract Attached				X
	MO	1566388	03/31/1969	FR Abstract Attached				X
	MP	1 580 539	09/05/1969	FR				X
	MQ	2094870	02/04/1972	FR				X
	MR	2 110 824	06/02/1972	FR				X
	MS	2.212.382	07/26/1974	FR				X
	MT	2 256 207	12/26/1974	FR				X
	MU	2 272 143	05/21/1975	FR				X
	MV	2 590 910	06/05/1987	FR				X
	MW	2 602 515	02/12/1988	FR				X
	MX	964 845	07/22/1964	GB				
	MY	1,044,028	09/28/1966	GB				
	MZ	1,044,502	10/05/1966	GB				
	NA	1,044,503	10/05/1966	GB				
	NB	1,166,664	10/08/1969	GB				
	NC	1,252,638	11/10/1971	GB				
	ND	1,329,915	09/12/1973	GB				
	NE	1 331988	09/26/1973	GB				
	NF	1,452,911	10/20/1976	GB				
	NG	1,458,915	12/15/1976	GB				
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	NH	1,559,058	01/16/1980	GB				
	NI	2 180 790	04/08/1987	GB				
	NJ	2 195 642	04/13/1988	GB				
	NK	11060789	03/05/1999	JP Abstract attached				X
	NL	11291422	10/26/1999	JP Abstract attached				X
	NM	01282280	11/14/1989	JP Abstract				
	NN	03037481	02/18/1991	JP Abstract attached				X
	NO	04063851	02/28/1992	JP Abstract attached				
	NP	07118492	05/09/1995	JP Abstract attached				X
	NQ	08269417	10/15/1996	JP Abstract attached				X
	NR	10036569	02/10/1998	JP Abstract attached				X
	NS	10279750	10/20/1998	JP Abstract attached				X
	NT	56045932	04/25/1981	JP Abstract attached				X
	NU	60112439	06/18/1985	JP Abstract attached				X
	NV	62132943	06/16/1987	JP Abstract attached				X
	NW	62223245	10/01/1987	JP Abstract attached				X
	NX	69029554	12/14/1966	JP Abstract				
	NY	88033788	02/13/1988	JP Abstract				
	NZ	95085907	03/31/1995	JP Abstract attached				X
	OA	7292167	11/07/1995	JP Abstract attached				X
	OB	9208761	08/12/1997	JP Abstract attached				X
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	OC	56095938	08/03/1981	JP Abstract attached				X
	OD	5112842	05/07/1993	JP Abstract attached				X
	OE	2000154281	06/06/2000	JP			X	
	OF	01/106628	04/24/1989	JP Abstract attached				X
	OG	02/038114	02/07/1990	JP Abstract attached				X
	OH	07216143	08/15/1995	JP Abstract attached				X
	OI	53102381	09/06/1978	JP Abstract attached				X
	OJ	2001233992	08/20/2001	JP Abstract attached				X
	OK	01016638	01/20/1989	JP Abstract attached				X
	OL	11080455	03/26/1999	JP Abstract attached				X
	OM	11020397	01/26/1999	JP Abstract attached				X
	ON	09104801	04/22/1997	JP Abstract attached				X
	OO	07247387	09/26/1995	JP Abstract attached				X
	OP	06345893	12/20/1994	JP Abstract attached				X
	OQ	01066253	03/13/1989	JP Abstract attached				X
	OR	68013376	06/06/1943	JP Abstract attached				X
	OS	03269036	11/29/1991	JP Abstract attached				X
	OT	05098088	04/20/1993	JP Abstract attached				X
	OU	53023388	03/03/1978	JP Abstract attached				X
	OV	50123148	09/27/1975	JP Abstract attached				X
	OW	50151243	12/04/1975	JP Abstract attached				X
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	OX	53060383	05/30/1978	JP Abstract attached				X
	OY	2067344	03/07/1990	JP Abstract attached				X
	OZ	96019286	01/19/1996	JP Abstract attached				X
	PA	96019287	02/28/1996	JP Abstract attached				
	PB	10324783	12/08/1998	JP Abstract attached				X
	PC	2001342355	12/14/2001	JP Abstract attached				X
	PD	07214685	08/15/1995	JP Abstract attached				X
	PE	2730079	03/25/1998	JP Abstract				
	PF	3325377	09/17/2002	JP Abstract				
	PG	3325376	09/17/2002	JP Abstract attached				X
	PH	10017693	01/20/1998	JP Abstract attached				X
	PI	2001064523	03/13/2001	JP Abstract				X
	PJ	2001131509	05/15/2001	JP Abstract attached				X
	PK	2001279031	10/10/2001	JP Abstract attached				X
	PL	9087435	03/31/1997	JP Abstract attached				X
	PM	08333557	12/17/1996	JP Abstract attached				X
	PN	08034862	03/06/1996	JP Abstract attached				X
	PO	89017495	01/20/1989	JP Abstract attached				X
	PP	76029170	03/12/1976	JP Abstract attached				X
	PQ	44029554	12/06/1969	JP Abstract attached				X
	PR	63251436	10/18/1988	JP Abstract attached				X
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	PS	04257361	09/11/1992	JP Abstract attached				X
	PT	08246232	09/24/1996	JP Abstract attached				X
	PU	10158971	06/16/1998	JP Abstract attached				X
	PV	10325060	12/08/1998	JP Abstract attached				X
	PW	11239587	09/07/1999	JP Abstract attached				X
	PX	2004345327	12/09/2004	JP Abstract				
	PY	80/00028	01/10/1980	WO				
	PZ	89/08681	09/21/1989	WO Abstract attached				X
	QA	92/16583	10/01/1992	WO				
	QB	94/15014	07/07/1994	WO				
	QC	95/13316	05/18/1995	WO Abstract attached				X
	QD	96/04419	02/15/1996	WO				
	QE	96/11231	04/18/1996	WO Abstract attached				X
	QF	96/11232	04/18/1996	WO Abstract attached				X
	QG	96/26242	08/29/1996	WO				
	QH	97/10298	03/20/1997	WO				
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							YES	NO
	QI	97/19582	06/05/1997	WO				
	QJ	97/33921	09/18/1997	WO				
	QL	98/36783	08/27/1998	WO				
	QM	98/44041	10/08/1998	WO				
	QN	98/46694	10/22/1998	WO				
	QO	99/13016	03/18/1999	WO				
	QP	99/62987	12/09/1999	WO Abstract attached				X
	QQ	00/66662	11/09/2000	WO Abstract attached				X
	QR	01/02263	01/11/2001	WO				
	QS	01/43963	06/21/2001	WO				
	QT	01/18109	03/15/2001	WO				
	QU	02/10310	02/07/2002	WO Abstract attached				X
	QV	02/17973	03/07/2002	WO				
	QW	02/30194	04/18/2002	WO				
	QX	02/062891	08/15/2002	WO				
	QY	02/072689	09/19/2002	WO Abstract attached				X
	QZ	02/074873	09/26/2002	WO				
	RA	02/18487	03/07/2002	WO				
	RB	02/31044	04/18/2002	WO				
	RC	03/048252	06/12/2003	WO				
	RD	04/009699	01/29/2004	WO				
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	RE	4,132,698	01/02/79	Gessler et al.			
	RF	4,274,932	06/23/81	Williams et al.			
	RG	4,369,284	01/18/83	Chen			
	RH	4,460,729	07/17/84	Books			
	RI	4,467,065	08/21/84	Williams et al.			
	RJ	4,666,959	05/19/87	Weissberger et al.			
	RK	4,749,734	06/07/88	Williams et al.			
	RL	4,959,402	09/25/90	Williams et al.			
	RM	5,258,419	11/02/93	Rolando et al.			
	RN	5,356,709	10/18/94	Woo et al.			
	RO	5,356,948	10/18/94	Payne, Jr. et al.			
	RP	5,376,716	12/27/94	Nayak et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES   NO
	RQ	32 44 499	06/16/83	DE			X
	RR	37 35 502	05/03/98	DE			X
	RS	0 614 939	09/14/94	EP			
	RT	0 664 315	07/26/95	EP			
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
EXAMINER				DATE CONSIDERED			
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		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO	
	RW	02/088238	11/07/2002	WO Abstract attached				X
	RX	02/18487	03/07/2002	WO				
	RY	02/31044	04/18/2002	WO				
	RZ	03/029379	04/10/2003	WO Abstract attached				X
	SA	03/048252	06/12/2003	WO				
	SB	03/060004	07/24/2003	WO Abstract attached				X
	SC	03/066729	08/14/2003	WO Abstract attached				X
	SD	04/014997	02/19/2004	WO				
	SE	04/20195	03/11/2004	WO				
	SF	04/31292	09/26/2003	WO				
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)								
	SG	Abstract of JP 8253754, published on 10/01/96, entitled, "Method for Foaming Reactive Hot-Melt Polyurethane Adhesive"						
	SH	PCT Written Opinion, 01/07/04, 2002B107B						
	SI	"Swelling Interaction, Plasticization, and Antioxidant extraction Between Fiber Optic Cable Gels and Polyolefins" B. G. Risch, Ph.D., SPE-ANTEC, 1999						
	SJ	"Use of "Clean" Paraffinic Processing Oils to Improve TPE Properties", B. J. Gedeon et al., TPEs, 2000, pp. 157-170						
	SK	"Plasticizing of isotactic polypropylene upon addition of hydrocarbon oils", K. Nitta et al., e-Polymers, no. 021, 2004, pp. 1 - 11						
	SL	"The Effect of Oil Type and Content on the Rheological, Mechanical and Thermal Properties of a Polyolefinic Based Thermoplastic Elastomer", McShane, et al. SPE-ANTEC, 2002						
	SM	Abstract of "Plasticizing Characteristics of High-Density Polyethylene" Wu et al., Suliao, 1988, 17 (4), 3 - 8						
	SN	Abstract of "Effect of Technological Additives on Properties of Thermoplastic vulcanizates Based on Ethylene Propylene Rubber and Polyolefins" Kanauzov et al., Kauchuk i Rezina, 2000, (4), 12 - 15						
	SO	Abstract of Polybutenes: a versatile modifier for plastics, J. D. Fotheringham, AddCon Asia (RAPRA), International Plastics Additives and Modifiers Conference, Singapore, October 28-29, 1997						
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant								



<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>		ATTY. DOCKET NO.	SERIAL NO.
		2002B107A	10/634,351
		APPLICANT	
		Chon-Yie Lin, et al.	
		FILING DATE	GROUP
		August 04, 2003	1711
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)			
	SP	Abstract of "Effect of Petroleum Plasticizers and Synthetic Oils on Rheological and service Properties of Polyolefins", M.D. Nasibova et al., Olefinov s Opyt. Z-dom, 1991 (14), 60-6	
	SQ	"Rubber Chemicals and Additives", Rubber Technology Handbook, 1989, Werner Hoffman, Hanser Publishers, New York, pp. 294-305	
	SR	"Plasticizers", Additives for Plastics, J. Stepek, H. Daoust, 1983, Springer Verlag, New York, pp. 6-69	
	ST	"Lubricants and Other Processing Aids", Chemical Additives for the Plastics Industry, Properties, Applications, Toxicologies, Noyes Data Corporation, Park Ridge, NJ, 1987, pp. 1073116	
	SU	"Synthetic Lubricants and High-Performance Functional Fluids: Chemistry, Technology, and Commercial Importance", "Polybutenes", Second Edition, Rudnick, Shubkin, eds., Marcel Dekker, Inc. New York, 1999, pp. 1-52, 357-392	
EXAMINER		DATE CONSIDERED	
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant			

Doc Code:

AUG 30 2005

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective 08/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL  
for FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** **\$180.00****Complete if Known**

Application Number	10/634,351
Filing Date	August 4, 2003
First Named Inventor	Lin, et al.
Examiner Name	Rip A. Lee
Art Unit	1713
Attorney Docket No.	2002B107A

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Deposit Account Number: 05-1712 Deposit Account Name: ExxonMobil Chemical Company

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	\$50.00	=	\$0.00	

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	
- 3 or HP =	x	\$200.00	=	\$0.00

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 0 (round up to a whole)	x \$250.00	= \$0.00

**4. OTHER FEE(S)**

Non-English specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement**\$180.00****SUBMITTED BY**

Signature

Registration No.  
(Attorney/Agent)

35,444

Telephone

281-834-5982

Name (Print/Type)

Catherine L. Bell

Date

August 30, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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